

TEXAS TECH UNIVERSITY HEALTH SCIENCES CENTER

Operating Policy and Procedure

HSC OP: 52.01, **Institutional Compliance Plan**

PURPOSE: The purpose of this Health Sciences Center Operating Policy and Procedure (HSC OP) is to provide a framework for implementation of an effective institutional compliance plan for Texas Tech University Health Sciences Center (TTUHSC) in accordance with the Federal Sentencing Guidelines and Texas Executive Order RP 36, dated July 12, 2004.

REVIEW: This HSC OP will be reviewed June 1 of each odd-numbered year (ONY) by the Institutional Compliance Officer, with recommendations for substantive revisions forwarded to the People and Operations Council.

POLICY/PROCEDURE:

1. Compliance with federal and state laws.

TTUHSC, through its President, adopts the Texas Tech University Health Sciences Center (TTUHSC) Institutional Compliance Plan "Compliance Plan" (attached), in support of its commitment that activities conducted by or on behalf of TTUHSC be in accordance with applicable federal and state laws and regulations, as well as Texas Tech University System (TTUS) *Regents' Rules* and TTUHSC policies.

2. Compliance policy..802.

Approved by: Lori Rice-Spearman, Ph.D.
President

July 1, 2010
Revised: April 10, 2015
Revised: July 31, 2017
Revised: August 30, 2019

TABLE OF CONTENTS

INTRODUCTION **1**

I.

INTRODUCTION

A. TTUHSC MISSION STATEMENT

B. TTUHSC INSTITUTIONAL COMPLIANCE MISSION STATEMENT

Non-compliance

§161.031(a), and/or other applicable state and federal laws. All documents generated by the ICRC, submitted to the ICRC or created for the purposes of fulfilling ICRC's duties under TTUHSC's Compliance Plan, are confidential and privileged and shall be identified as a "Confidential – Medical Committee Document."

C. MANAGER AND SUPERVISOR RESPONSIBILITIES

C. CONTRACTORS/VENDORS

B. FRAUD AND MISCONDUCT HOTLINE

1-866-294-9352 (also available in Spanish)

or

www.ethicspoint.com

C. NON-RETALIATION POLICY

