

TEXASTECH UNIVERSITY HEALTH SCIENCES CENTER

Operating Policy and Procedure

HSC OP: 73.14, Research Compliance

PURPOSE: The purpose of this Health Sciences Center Operating Policy and Procedure (HSCOP) is to set forth the objectives and operation of compliance oversight of research activities conducted by or through Texas Tech University Health Sciences Center (TTUHSC).

REVIEW: This HSC OP will be reviewed on June 1 of every odd-numbered year (ONY) by the Assistant Vice President for Research Integrity and the Chief Compliance Officer (CCO), with recommendations for revisions forwarded to the Senior Vice President for Research and Innovation (SVPRI) by June 30.

POLICY/PROCEDURE:

1. General Research Oversight

- a. Senior Vice President for Research and Innovation The SVPRI is responsible for the oversight of the research compliance program at TTUHSC with specific compliance

- 1) Animals: HSCOP 73.03 and IACUC policies
- 2) Humans: subjects HSCOP 73.06 and HRPP manual

- i. If an official appeal of a research committee's or subcommittee's decision is submitted to the SVPRI and approved by the SVPRI based on the merit that request on a case by case basis, the RCC has the authority to review the matter and issue a finding to the SVPRI.

considers the issue to be resolved. Note: the allegation may be referred to other institutional entities for evaluation and management as appropriate.

3) Inquiry. The Research Integrity Officer, R

If a corrective action plan is submitted with a finding of Serious and/or Continuing Non-Compliance, the completion of that corrective action plan will require the signature of the PI, Chair, Dean, and O.

7. Right to Change Policy.

TTUHSC reserves the right to interpret, change, modify, amend or rescind any policy in whole or in part at any time without the consent of workforce.