



TEXAS TECH UNIVERSITY
HEALTH SCIENCES CENTER™

UNIVERSITY OF TEXAS AT DALLAS

COMPLIANCE
PLAN

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DATE: 10/15/2014

BY: [Signature]

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Texas Tech University Health Sciences Center (TTUHSC), with its five (5) health professions schools, and clinical and academic centers throughout west Texas and parts of central Texas, is subject to many laws, rules and regulations as an academic, research and patient care center. Failure to comply with these laws, rules and regulations can adversely impact TTUHSC's ability

to continue these activities. TTUHSC is committed to conducting its activities in an ethical and honest manner and in compliance with applicable laws, regulations, Texas Tech University System (TTUS) Regents rules, and TTUHSC policies.

TTUHSC, through its president, adopts this Institutional Compliance Plan ("Plan") under authority of the Texas Tech University System Board of Regents to provide an institutional compliance environment supportive of existing regulatory compliance oversight efforts. It is based on the Federal Sentencing Guidelines standards for an effective compliance program, the Department of Health and Human Services Office of Inspector General's compliance and

A. TTUHSC MISSION STATEMENT

~~Non-compliance means failure or refusal to follow applicable state or federal laws or~~

institutional policies whether or not such conduct results in financial risk to TTUHSC. It

~~may include, but is not limited to, the following:~~

documents generated by the ICC submitted to the ICC requested for

the purposes of fulfilling ICC's duties are confidential and privileged

and shall be identified as "Confidential - ICC" or "Confidential - ICC"

Document."

2. Meetings. The ICC shall meet at least twice each year (or more often as

Health and Safety Code §161.031(a), and/or other applicable state and federal laws. All documents generated by the ICWC, submitted to the ICWC or created for the purposes of fulfilling ICWC's duties under

D. INSTITUTIONAL COMPLIANCE OFFICER

1. The Institutional Compliance Officer shall:

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

A. COMPLIANCE AWARENESS

All TTUHSC employees will receive a copy and acknowledge receipt of the Standards of Conduct and Ethics Guide. TTUHSC contracts shall, where appropriate, reference the TTUHSC Institutional Compliance Plan, Standards of Conduct and Ethics Guide and related policies.

The ICO shall post this Plan and the Standards of Conduct and Ethics Guide on the TTUHSC website. The ICO shall periodically publish information in various resources

The ICO shall be responsible for developing the content for and delivery of general compliance education for TTUHSC. The content of the general compliance education will include, but is not limited to, overview of pertinent laws and regulations, the TTUHSC Compliance Plan, TTUHSC risk areas, roles and responsibilities and other information necessary to maintain an effective general compliance education program.

3. Specific Compliance Education Content and Delivery

It will be the responsibility of the individual department or committee

C. MANAGER AND SUPERVISOR RESPONSIBILITIES

The promotion of and adherence to the Institutional Compliance Plan by all managers and supervisors is considered an integral part of their job performance. *Persons serving in management or supervisory positions at TTUHSC (to include faculty, etc...) shall receive notice of those who need to complete compliance training and are ultimately*

responsible to make sure that each TTUHSC employee reporting to them has

otherwise ineligible for participation in federal or state funded programs shall not be enrolled in the TTUHSC residency program.

C. CONTRACTORS/VENDORS

In accordance with USC OR 50.11, C... TTUHSC D...

Contracting Offices shall conduct appropriate searches of vendors and contractors against

1. 2023年12月1日
 2. 2023年12月2日
 3. 2023年12月3日
 4. 2023年12月4日
 5. 2023年12月5日
 6. 2023年12月6日
 7. 2023年12月7日
 8. 2023年12月8日
 9. 2023年12月9日
 10. 2023年12月10日
 11. 2023年12月11日
 12. 2023年12月12日
 13. 2023年12月13日
 14. 2023年12月14日
 15. 2023年12月15日
 16. 2023年12月16日
 17. 2023年12月17日
 18. 2023年12月18日
 19. 2023年12月19日
 20. 2023年12月20日
 21. 2023年12月21日
 22. 2023年12月22日
 23. 2023年12月23日
 24. 2023年12月24日
 25. 2023年12月25日
 26. 2023年12月26日
 27. 2023年12月27日
 28. 2023年12月28日
 29. 2023年12月29日
 30. 2023年12月30日
 31. 2023年12月31日

investigation may be obtained whenever necessary. A written report of each investigation by the ICO shall be prepared, to include findings and recommendations and marked confidential in accordance with this Plan. A summary report of all ICO compliance investigations shall be provided to the ICC. The ICC has the authority to require action in addition to those recommended by the investigating entity/individual.

TTUHSC students, faculty and staff shall cooperate during any compliance investigation and shall not alter or destroy any documentation during the course of the investigation.

B. CORRECTIVE ACTION AND APPEAL RIGHTS

Failure or refusal to comply with this Plan, applicable laws, regulations and/or TTUHSC policies will result in corrective action. An employee's supervisor, chair, or dean may