

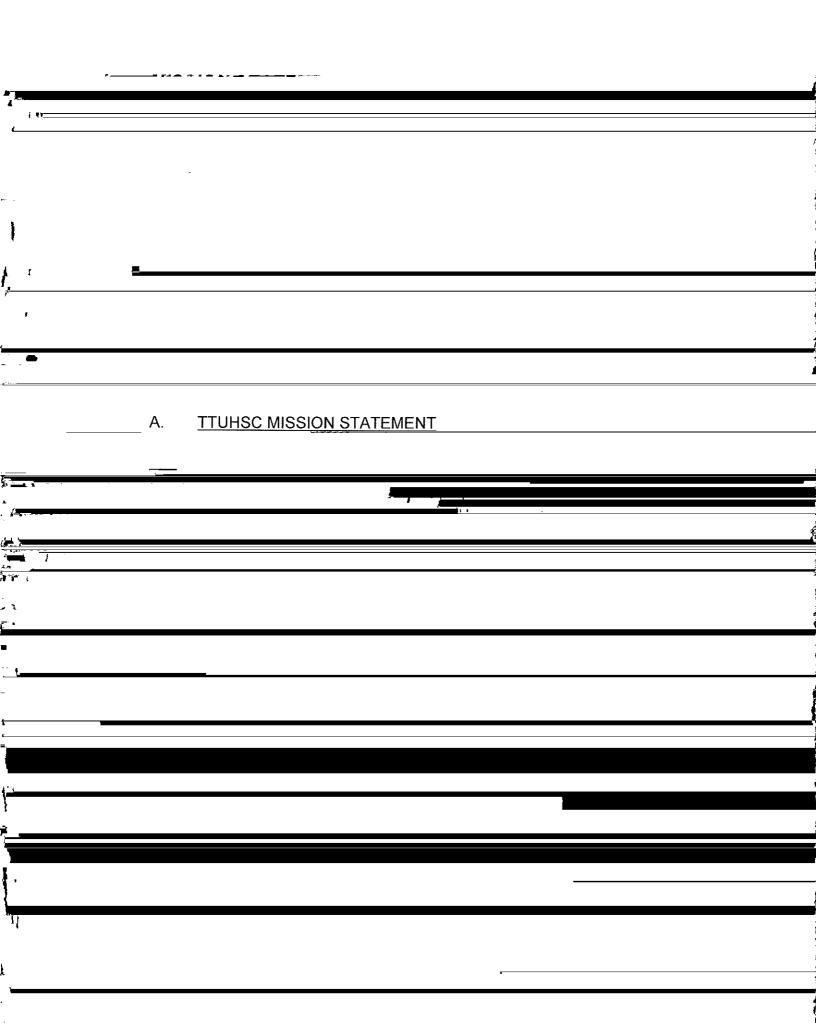
## TEXAS TEST IN THE STATE OF THE SCIENCES CENTER...

## CONTERANCE

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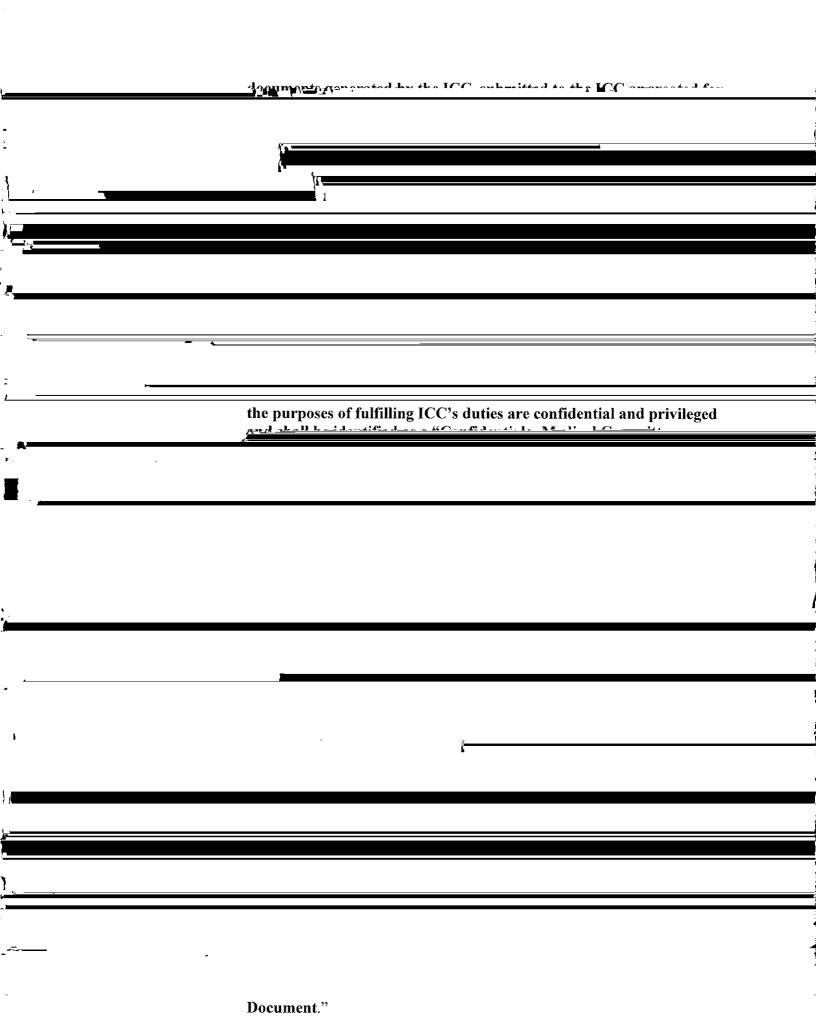
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	Regents rules, and TTUHSC po		
authority of the	igh its president, adopts this Insti Texas Tech University System B	Board of Regents to provid	le an institutional
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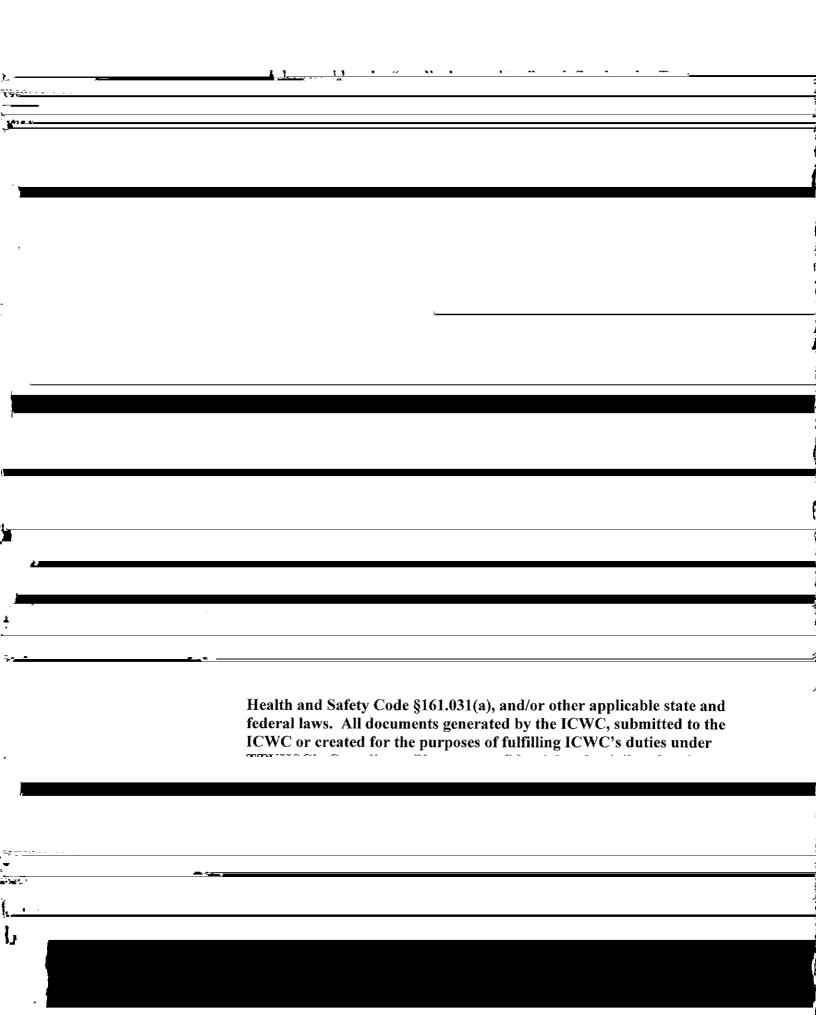


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	institutional policies whether or not such conduct results in financial risk to TTUHSC. It
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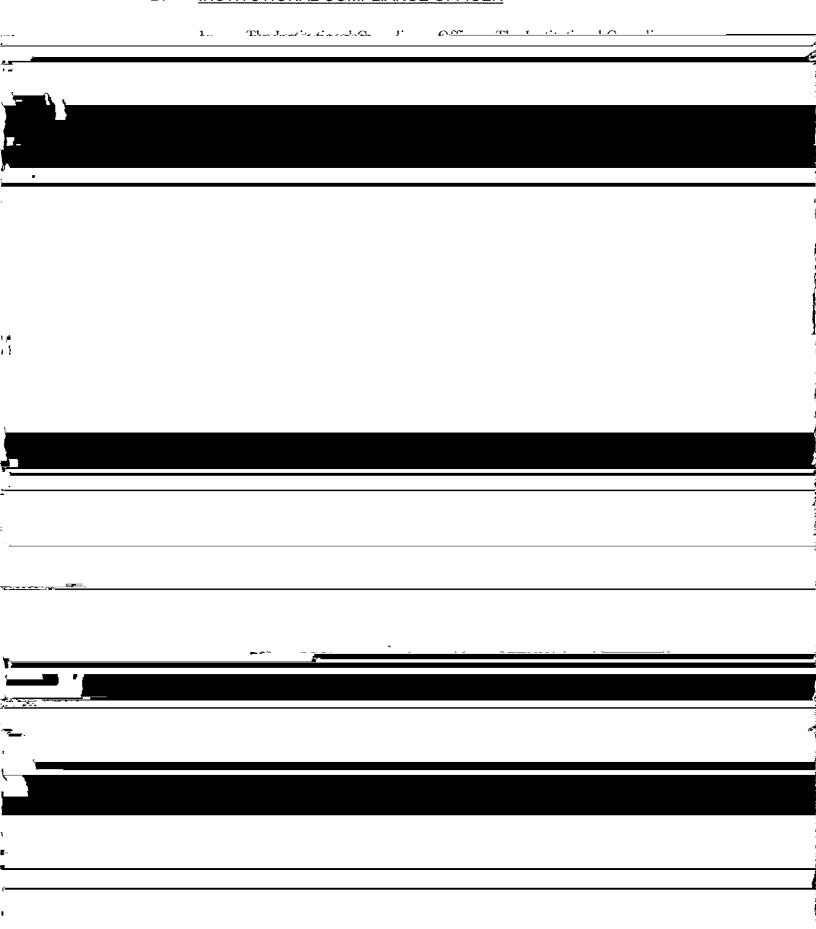
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Meetings The ICC shall meet at least twice each year (or more often as



#### D. <u>INSTITUTIONAL COMPLIANCE OFFICER</u>



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to a second	A. <u>COMPLIANCE AWARENESS</u>	
	Al 1 TTUHSC employees will receive a copy and acknowledge receipt of the Standards of Conduct and Ethics Guide. TTUHSC contracts shall, where appropriate, reference the TTUHSC Institutional Compliance Plan, Standards of Conduct and Ethics Guide and related policies.	
<u> </u>	The ICO shall post this Plan and the Standards of Conduct and Ethics Guide on the TTUHSC website. The ICO shall periodically publish information in various resources	
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	2	The ICO shall be responsible for developing the content for and delivery of general compliance education for TTUHSC. The content of the general compliance education will include, but is not limited to, overview of pertinent laws and regulations, the TTUHSC Compliance Plan, TTUHSC risk areas, roles and responsibilities and other information necessary to maintain an effective general compliance education program.
	3.	Specific Compliance Education Content and Delivery
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# C. MANAGER AND SUPERVISOR RESPONSIBILITIES The promotion of and adherence to the Institutional Compliance Plan by all managers and supervisors is considered an integral part of their job performance. Persons serving in management or supervisory positions at TTUHSC (to include faculty, etc...) shall receive notice of those who need to complete compliance training and are ultimately responsible to make sure that each TTUHSC employee reporting to them has

otherwise ineligible for participation in federal or state funded programs shall not be enrolled in the TTUHSC residency program. **CONTRACTORS/VENDORS** C.

Contracting Offices shall conduct appropriate searches of vendors and contractors against



investigation may be obtained whenever necessary. A written report of each investigation by the ICO shall be prepared, to include findings and recommendations and marked confidential in accordance with this Plan. A summary report of all ICO compliance investigations shall be provided to the ICC. The ICC has the authority to require action in addition to those recommended by the investigating entity/individual.

TTUHSC students, faculty and staff shall cooperate during any compliance investigation and shall not alter or destroy any documentation during the course of the investigation.

### B. <u>CORRECTIVE ACTION AND APPEAL RIGHTS</u>

